TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

For Period Ended
November 30, 2020

Investment Portfolio	Cost	Par Value	Market Value	% of Portfolio
State Local Agency Investment Fund	42,134,300	$ 42,134,300	42,320,445	43.7%
Negotiable Certificates of Deposit	14,727,546	$ 13,942,000	15,091,759	15.6%
Money Market Fund	7,209,149	$ 7,209,149	7,209,149	7.5%
Government Agency Securities	31,500,580	31,500,000	31,586,585	32.7%
Corporate Bonds	494,750	500,000	521,570	0.5%
Total Investments	$ 96,066,324	$ 95,285,449	$ 96,729,507	100.0%

Weighted Average Days to Maturity	479.94
Blended Yield:	0.80%

Cash	Cost	Market Value
Bank Accounts and Change Funds	12,364,026	12,364,026
Total Cash	$ 12,364,026	$ 12,364,026

Total Cash and Investments	$ 108,430,350	$ 109,093,533

PARS Investment Portfolio	Cost	Market Value	% of Portfolio
115 Trust-OPEB Investment as of 11/30/20	1,000,000	1,274,847	14.5%
115 Trust-Pension Investment as of 11/30/20	6,300,000	7,505,945	85.5%
Total Restricted Funds Held in Trust	$ 7,300,000	$ 8,780,792	100.0%

Benchmarks:	
LAIF	0.58%
2yr U.S. Treasury	0.16%
5yr U.S. Treasury	0.36%

Restricted Funds with Fiscal Agent	Book Value	Market Value
Water System Lease Revenue Refunding Bonds 2011	16	16
Colonies CFD Area #2 2015	1,622,128	1,622,128
Colonies CFD Area #1 2012	2,340,599	2,340,599
Upland 54 CFD 2004	206,991	206,991
Successor Agency TAN 2013 / TAN 2016- Merged Project	2,256,039	2,256,039
Harvest at Upland CFD 2016-1	2,357,238	2,357,238
Sycamore Hills CFD 2015-1	4,550,439	4,550,439
Total Restricted Funds	$ 13,333,450	$ 13,333,450

1. I hereby certify that the investments are in compliance with the investment policy adopted by the City Council in June 2020.
2. The City has the ability to meet its budgeted expenditures for the next six months.
3. The market values for funds held in banking institutions do not change. The amounts listed as market values for these items are the same as their book values.
4. The book value for the State Pool is the withdrawal value provided by the State Treasurer. The market value of funds held by the State Treasurer equates to the City's pro-rata share of the market value of the entire State Pool.
5. Sources for current market valuation are Account Statements and the Wall Street Journal GNMA Mortgage rates on the last trading day of the month.
6. This report meets the requirements of Government Code Section 53646.

Finance Officer — Date: 12/22/2020

Assistant City Manager — Date: 12/22/20

City Treasurer — Date: 12/30/20

Prepared By: Erin Myers

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

State Local Agency Investment Fund

For Period Ended
November 30, 2020

Fund or Account		Account Balance	Market Value (See Note 4)
City	95-36-642	42,134,300	
	Totals	**$ 42,134,300**	**$ 42,320,445**
Yield for Period Ended	**11/30/2020**	0.58%	

TREASURY REPORT
City of Upland - Successor Agency - Public Financing Authority

US Bank Investments
For Period Ended
November 30, 2020

Investment Name	Cusip #	Cost	Market Value	Stated Rate	Maturity Date
Negotiable Certificates of Deposit					
First Internet CD	32056GDD9	250,000	250,575	2.99%	12/28/2020
Live Oak CD	538036DX9	250,000	251,413	2.98%	02/09/2021
Beal BK USA LAS CD	07370Y3N0	250,000	251,000	1.59%	03/03/2021
Eagle Bank CD	27002YDY9	250,000	251,905	2.83%	03/08/2021
Safra NATL BANK CD	78658RCZ2	250,000	251,065	1.24%	04/07/2021
CitiBank NA CD	17312QH93	250,000	252,490	2.72%	04/12/2021
Bell ST BK TR CD	07815ABF3	250,000	250,998	1.10%	04/16/2021
Federal SVGS BK CD	313812DM8	250,000	251,068	1.15%	04/20/2021
Capital One NA CD	14042RFV8	246,218	252,278	2.23%	05/24/2021
Synovus Bank GA CD	87164DQV5	250,000	251,913	1.59%	05/27/2021
SB One BK CD	78414TBY7	250,000	252,030	1.59%	06/07/2021
BMW Bank NA CD	05580ANK6	250,000	254,575	2.95%	07/13/2021
First National Bank CD	32117BDW5	250,000	252,735	1.68%	07/30/2021
Capital One Bank CD	14042TBG1	250,000	253,458	2.07%	08/02/2021
Cathay Bank CD	149159PL3	250,000	252,893	1.58%	08/30/2021
Abacus Federal CD	00257TBA3	250,000	255,340	2.84%	08/31/2021
First Fid Bank CD	32024FAB7	250,000	255,495	2.79%	09/14/2021
Wells Fargo Bank CD	949763LT1	250,000	255,575	2.20%	12/08/2021
Synchorony Bank CD	87164WYK8	250,000	258,288	2.61%	03/08/2022
Sterling Bank CD	85916VCW3	250,000	259,600	2.99%	03/09/2022
Morgan Stanley CD	61690UFC9	250,000	258,713	2.71%	03/14/2022
Amerant BK NATL CD	02357QAM9	250,000	253,185	1.04%	03/30/2022
CenterState BK CD	15201QCX3	250,000	254,355	1.38%	03/30/2022
Bank of Santa CD	06424KBC3	250,000	253,345	1.09%	03/31/2022
American CD	02587DN38	249,675	253,624	2.38%	04/05/2022
American Express CD	02589AB84	250,000	254,233	1.33%	04/07/2022
First Northeast CD	33583FAB8	239,570	253,501	1.95%	04/19/2022
Old Missouri CD	68002LBL1	250,000	260,695	2.97%	05/05/2022
Texas CAP Bank N A CD	88224PLZ0	250,000	250,498	0.25%	08/08/2022
American Express CD	02587CFU9	250,000	259,410	2.31%	08/29/2022
Cross Riv Bank CD	227563BJ1	250,000	258,190	1.79%	11/07/2022
Flagstar Bank FSB CD	33847E2V8	250,000	258,030	1.74%	11/15/2022
Wells Fargo Natl CD	949495AF2	250,000	258,760	1.79%	12/30/2022
Texas CAP Bank N A CD	88224PLY3	250,000	250,720	0.30%	02/07/2023
Goldman Sachs CD	38148PYQ4	250,000	264,780	2.64%	02/28/2023
Sallie Mae Bank CD	795450P66	250,000	268,440	2.98%	05/09/2023
Discover Bank Greenwood Del CD	254673RF5	250,000	270,355	3.05%	07/11/2023
Nicolet NATL CD	654062JS8	250,000	257,500	1.21%	09/27/2023
Lakeside Bank CD	51210SSA6	250,000	258,228	1.31%	09/29/2023
Raymond James C D	75472RAT8	250,000	262,345	1.72%	12/29/2023
Bank Princeton CD	064520BB4	250,000	251,558	0.40%	12/29/2023
Luana Savings Bank CD	549104RT6	250,000	250,733	0.30%	02/14/2024
Bank Hapoalim BM CD	06251AW48	246,233	255,833	2.66%	03/25/2024
Celtic Bank C D	15118RUQ8	250,000	258,565	1.21%	04/02/2024
UBS Bank USA CD	90348JJQ4	260,650	272,303	2.66%	04/03/2024
Morgan Stanley CD	61760AZR3	250,000	271,503	2.53%	05/02/2024
First Farmers Bank & Trust CD	320165JK0	246,682	248,122	1.66%	09/04/2024
Bank of the CD	06543PCN3	250,000	250,818	0.35%	09/30/2024
Magnolia Bank INC CD	559582AP6	250,000	250,370	0.35%	11/25/2024
State Bank of CD	856285RS2	252,625	267,583	1.92%	11/27/2024
Enerbank USA CD	29278TMR8	250,000	265,520	1.69%	01/29/2025
Merrick Bank CD	59013KFH4	250,000	265,005	1.65%	01/31/2025
Poppy Bank Santa CD	73319FAF6	235,893	236,646	1.06%	03/18/2025
Medallion BK CD	58404DGK1	250,000	260,500	1.06%	03/27/2025
Partners Bank CD	70212YAZ5	250,000	257,285	0.97%	04/02/2025
1st Security CD	33625CDR7	250,000	249,690	0.30%	04/16/2025
Tandem Bk Tucker CD	87537YAB0	250,000	254,283	0.54%	06/23/2025

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

US Bank Investments
For Period Ended
November 30, 2020

Investment Name	Cusip #	Cost	Market Value	Stated Rate	Maturity Date
BMW Bank North CD	05580AXF6	250,000	251,345	0.50%	09/25/2025
JPMorgan Chase CD	48128URC5	250,000	250,505	0.50%	11/10/2025
Negotiable Certificates of Deposit Fund Subtotal		$ 14,727,546	$ 15,091,759		
Money Market Fund					
US Bank	31846V203	7,209,149	7,209,149	0.01%	N/A
Money Market Fund Subtotal		7,209,149	7,209,149		
Government Securities					
Federal National Mortgage Assoc	3135G0Q89	1,481,400	1,516,095	1.36%	10/07/2021
Federal Farm Credit Bks	3133EFPT8	2,020,180	2,035,100	1.93%	11/19/2021
Federal Farm Credit Bks DEB	3133EL2Q6	500,000	497,775	0.23%	08/03/2022
Federal Farm Credit Bks DEB	3133ELFS8	1,999,000	2,060,940	1.61%	12/27/2022
Federal Home LN Corp MTN	3134GWGL4	1,000,000	998,580	0.33%	02/03/2023
Federal National Mortgage Assoc	3135G05R0	1,500,000	1,499,730	0.30%	08/10/2023
Federal Farm Credit Bks DEB	3133EL6U3	1,500,000	1,499,520	0.28%	09/14/2023
Federal Home LN Corp MTN	3134GVZ92	1,500,000	1,498,815	0.51%	12/29/2023
Federal Farm Credit Bks DEB	3133ELP57	1,500,000	1,500,015	0.59%	03/25/2024
Federal Home LN Corp MTN	3134GWJ64	1,500,000	1,496,760	0.40%	06/10/2024
Federal Home LN Corp MTN	3134GWUX2	1,500,000	1,498,125	0.38%	09/30/2024
Federal Home LN Bks DEB	3130AHN66	1,000,000	1,000,610	1.94%	12/16/2024
Federal Farm Credit Bks DEB	3133EMER9	1,500,000	1,497,570	0.47%	01/27/2025
Federal Home LN Corp MTN	3134GVAQ1	2,000,000	2,004,140	1.75%	02/12/2025
Federal Farm Credit Bks DEB	3133EMBK7	1,500,000	1,497,585	0.45%	04/07/2025
Federal Home LN Corp MTN	3134GVZ84	1,500,000	1,493,715	0.76%	06/30/2025
Federal National Mortgage Assoc	3136G4A45	1,500,000	1,498,845	0.71%	07/22/2025
Federal Home LN Corp MTN	3134GWH82	1,500,000	1,497,705	0.63%	09/08/2025
Federal Home LN Corp MTN	3134GWWT9	1,500,000	1,497,960	0.55%	09/30/2025
Federal Home LN Corp	3134GWZG4	2,000,000	1,997,660	0.60%	10/20/2025
Federal Home LN Corp MTN	3134GXAP9	1,500,000	1,499,340	0.60%	11/12/2025
Government Securities Subtotal		31,500,580	31,586,585		
Corporate Bonds					
Apple Inc	037833DE7	494,750	521,570	2.30%	01/13/2023
Corporate Bonds Subtotal		494,750	521,570		
Total Portfolio		**$ 53,932,025**	**$ 54,409,063**		

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

Investment Portfolio

For Period Ended
November 30, 2020

November 30, 2020	Market Value	Availability 11/30/20 12/01/20	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Petty Cash	11,900	12/01/20	1	0.0%	0.00		
Checking Account	9,105,405	12/01/20	1	8.3%	0.08		
Successor Agency	3,246,721	12/01/20	1	3.0%	0.03		
Total Bank Accounts	**12,364,026**					12,364,026	
State Local Agency Investment Fund	**42,320,445**	12/01/20	1	38.8%	0.39	42,320,445	
US Bank	7,209,149	12/01/20	1	6.6%	0.07	7,209,149	
Total Money Market Accounts	**7,209,149**						
First Internet CD	250,575	12/28/2020	28	0.2%	0.06	250,575	
Negotiable Certificates of Deposit	**250,575**						
						62,144,195	**1 - 30 days**
Live Oak CD	251,413	02/09/21	71	0.2%	0.16		
Beal BK USA LAS CD	251,000	03/03/21	93	0.2%	0.21		
Eagle Bank CD	251,905	03/08/21	98	0.2%	0.23	754,318	
Negotiable Certificates of Deposit	**754,318**						
						754,318	**61 - 120 days**
Safra NATL BANK CD	251,065	04/07/21	128	0.2%	0.29		
CitiBank NA CD	252,490	04/12/21	133	0.2%	0.31		
Bell ST BK TR CD	250,998	04/16/21	137	0.2%	0.32		
Federal SVGS BK CD	251,068	04/20/21	141	0.2%	0.32		
Capital One NA CD	252,278	05/24/21	175	0.2%	0.40		
Synovus Bank GA CD	251,913	05/27/21	178	0.2%	0.41	1,509,810	
Negotiable Certificates of Deposit	**1,509,810**						
						1,509,810	**121 - 180 days**
Federal National Mortgage Assoc	1,516,095	10/7/2021	311	1.4%	4.32		
Federal Farm Credit Bks	2,035,100	11/19/2021	354	1.9%	6.60	3,551,195	
Government Securities	**3,551,195**						
SB One BK CD	252,030	06/07/21	189	0.2%	0.44		
BMW Bank NA CD	254,575	07/13/21	225	0.2%	0.53		
First National Bank CD	252,735	07/30/21	242	0.2%	0.56		
Capital One Bank CD	253,458	08/02/21	245	0.2%	0.57		
Cathay Bank CD	252,893	08/30/21	273	0.2%	0.63		
Abacus Federal CD	255,340	08/31/21	274	0.2%	0.64		
First Fid Bank CD	255,495	09/14/21	288	0.2%	0.67	1,776,525	
Negotiable Certificates of Deposit	**1,776,525**						
						5,327,720	**181 - 1 year**
Federal Farm Credit Bks DEB	497,775	08/03/22	611	0.5%	2.79	497,775	
Government Securities	**497,775**						
Wells Fargo Bank CD	255,575	12/08/21	373	0.2%	0.87		
Synchorony Bank CD	258,288	03/08/22	463	0.2%	1.10		
Sterling Bank CD	259,600	03/09/22	464	0.2%	1.10		
Morgan Stanley CD	258,713	03/14/22	469	0.2%	1.11		
Amerant BK NATL CD	253,185	03/30/22	485	0.2%	1.13		
CenterState BK CD	254,355	03/30/22	485	0.2%	1.13		
Bank of Santa CD	253,345	03/31/22	486	0.2%	1.13		
American CD	253,624	04/05/22	491	0.2%	1.14		
American Express CD	254,233	04/07/22	493	0.2%	1.15		
First Northeast CD	253,501	04/19/22	505	0.2%	1.17		
Old Missouri CD	260,695	05/05/22	521	0.2%	1.25		
Texas CAP Bank N A CD	250,498	08/08/22	616	0.2%	1.41		
American Express CD	259,410	08/29/22	637	0.2%	1.51		
Cross Riv Bank CD	258,190	11/07/22	707	0.2%	1.67		
Flagstar Bank FSB CD	258,030	11/15/22	715	0.2%	1.69	3,841,240	
Negotiable Certificates of Deposit	**3,841,240**						
						4,339,015	**1- 2 years**
Federal Farm Credit Bks DEB	2,060,940	12/27/22	757	1.9%	14.30		
Federal Home LN Corp MTN	998,580	02/03/23	795	0.9%	7.28		
Federal National Mortgage Assoc	1,499,730	08/10/23	983	1.4%	13.51		
Federal Farm Credit Bks DEB	1,499,520	09/14/23	1,018	1.4%	13.99	6,058,770	
Government Securities	**6,058,770**						

TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

Investment Portfolio

For Period Ended
November 30, 2020

November 30, 2020	Market Value	Availability 11/30/20 12/01/20	No. of days until maturity	weight	days to maturity weighted average	Total by maturity	
Apple Inc	521,570	01/13/23	774	0.5%	3.70	521,570	
Corporate Bonds	**521,570**						
Wells Fargo Natl CD	258,760	12/30/22	760	0.2%	1.80		
Texas CAP Bank N A CD	250,720	02/07/23	799	0.2%	1.84		
Goldman Sachs CD	264,780	02/28/23	820	0.2%	1.99		
Sallie Mae Bank CD	268,440	05/09/23	890	0.2%	2.19		
Discover Bank Greenwood Del CD	270,355	07/11/23	953	0.2%	2.36		
Nicolet NATL CD	257,500	09/27/23	1,031	0.2%	2.43		
Lakeside Bank CD	258,228	09/29/23	1,033	0.2%	2.45	1,828,783	
Negotiable Certificates of Deposit	**1,828,783**						
						8,409,123	**2- 3 years**
Federal Home LN Corp MTN	1,498,815	12/29/23	1,124	1.4%	15.44		
Federal Farm Credit Bks DEB	1,500,015	03/25/24	1,211	1.4%	16.65		
Federal Home LN Corp MTN	1,496,760	06/10/24	1,288	1.4%	17.67		
Federal Home LN Corp MTN	1,498,125	09/30/24	1,400	1.4%	19.23	5,993,715	
Government Securities	**5,993,715**						
Raymond James C D	262,345	12/29/23	.1,124	0.2%	2.70		
Bank Princeton CD	251,558	12/29/23	1,124	0.2%	2.59		
Luana Savings Bank CD	250,733	02/14/24	1,171	0.2%	2.69		
Bank Hapoalim BM CD	255,833	03/25/24	1,211	0.2%	2.84		
Celtic Bank C D	258,565	04/02/24	1,219	0.2%	2.89		
UBS Bank USA CD	272,303	04/03/24	1,220	0.2%	3.05		
Morgan Stanley CD	271,503	05/02/24	1,249	0.2%	3.11		
First Farmers Bank & Trust CD	248,122	09/04/24	1,374	0.2%	3.13		
Bank of the CD	250,818	09/30/24	1,400	0.2%	3.22		
Magnolia Bank INC CD	250,370	11/25/24	1,456	0.2%	3.34		
State Bank of CD	267,583	11/27/24	1,458	0.2%	3.58	2,839,730	
Negotiable Certificates of Deposit	**2,839,730**						
						8,833,445	**3- 4 years**
Federal Home LN Bks DEB	1,000,610	12/16/24	1,477	0.9%	13.55		
Federal Farm Credit Bks DEB	1,497,570	01/27/25	1,519	1.4%	20.85		
Federal Home LN Corp MTN	2,004,140	02/12/25	1,535	1.8%	28.20		
Federal Farm Credit Bks DEB	1,497,585	04/07/25	1,589	1.4%	21.81		
Federal Home LN Corp MTN	1,493,715	06/30/25	1,673	1.4%	22.91		
Federal National Mortgage Assoc	1,498,845	07/22/25	1,695	1.4%	23.29		
Federal Home LN Corp MTN	1,497,705	09/08/25	1,743	1.4%	23.93		
Federal Home LN Corp MTN	1,497,960	09/30/25	1,765	1.4%	24.24		
Federal Home LN Corp	1,997,660	10/20/25	1,785	1.8%	32.69		
Federal Home LN Corp MTN	1,499,340	11/12/25	1,808	1.4%	24.85	15,485,130	
Government Securities	**15,485,130**						
Enerbank USA CD	265,520	01/29/25	1,521	0.2%	3.70		
Merrick Bank CD	265,005	01/31/25	1,523	0.2%	3.70		
Poppy Bank Santa CD	236,646	03/18/25	1,569	0.2%	3.40		
Medallion BK CD	260,500	03/27/25	1,578	0.2%	3.77		
Partners Bank CD	257,285	04/02/25	1,584	0.2%	3.74		
1st Security CD	249,690	04/16/25	1,598	0.2%	3.66		
Tandem Bk Tucker CD	254,283	06/23/25	1,666	0.2%	3.88		
BMW Bank North CD	251,345	09/25/25	1,760	0.2%	4.05		
JPMorgan Chase CD	250,505	11/10/25	1,806	0.2%	4.15	2,290,779	
Negotiable Certificates of Deposit	**2,290,779**						
						17,775,909	**4- 5 years**
Total Investments	**$ 109,093,533**			100.00%	479.94	**$ 109,093,533**	

Average Maturity in Days	479.94
Average Maturity in Years:	1.315

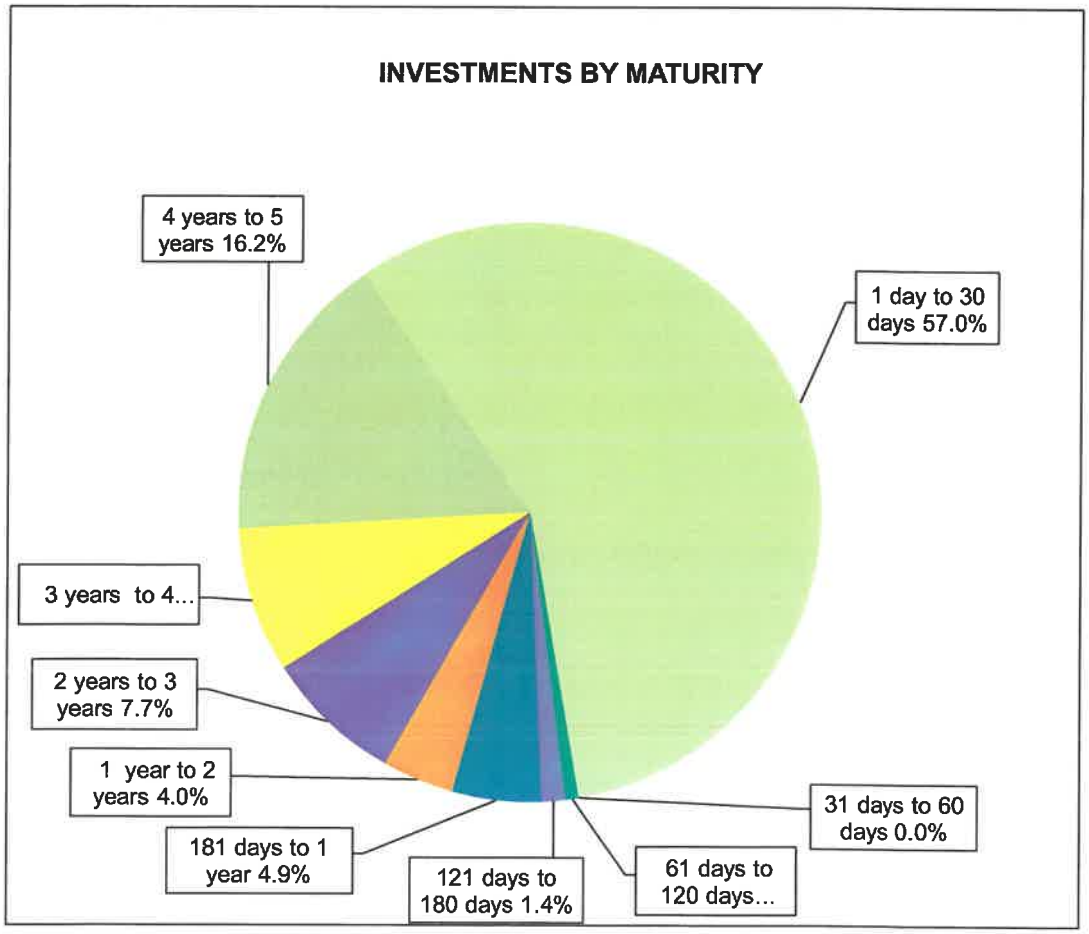
LAIF Amortized Cost	$ 102,999,658,059
LAIF Fair Value	$ 103,454,700,618
Check:	1.004417904

TREASURY REPORT
City of Upland - Successor Agency - Public Financing Authority

Par Values Maturing by Date and Type
Maturities in Thousands of Dollars

For Period Ended
November 30, 2020

Investment Portfolio	1 day to 30 days	31 days to 60 days	61 days to 120 days	121 days to 180 days	181 days to 1 year	1 year to 2 years	2 years to 3 years	3 years to 4 years	4 years to 5 years
State Local Agency Investment Fund	42,320	-	-	-	-	-	-	-	
Bank Accounts and Change Funds	12,364	-	-	-	-	-	-	-	
Negotiable Certificates of Deposit	251	-	754	1,510	1,777	3,841	1,829	2,840	2,291
ABS	-	-	-	-	-	-	-	-	
Government Securities	-	-	-	-	3,551	498	6,059	5,994	15,485
Money Market Fund	7,209	-	-	-	-	-	-	-	-
Corporate Bonds	-	-	-	-	-	-	522	-	-
US Treasury	-	-	-	-	-	-	-	-	-
Total Cash and Investments	62,144	1	754	1,510	5,328	4,339	8,409	8,833	17,775
Percentage	57.0%	0.0%	0.7%	1.4%	4.9%	4.0%	7.7%	8.1%	16.2%



TREASURY REPORT

City of Upland - Successor Agency - Public Financing Authority

Bank Accounts and Change Funds

For Period Ended
November 30, 2020

Account Name	Institution	Insured Deposits	Collateralized Deposits	Total Deposits
Petty Cash City of Upland	City of Upland	$ -	$ 11,900	$ 11,900
City of Upland Payroll Zero Balance Account	Chase	-	-	-
City of Upland Checking Account	Chase	250,000	8,855,405	9,105,405
City of Upland Successor Agency	Chase	-	3,246,721	3,246,721
	Totals	**$ 250,000**	**$ 12,114,026**	**$ 12,364,026**